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Intertemporal Collective-Action Problems in Graduate-Worker Organizing

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This Note explores a recent paradox in graduate-worker union organizing. While graduate workers have displayed virtual unanimity in choosing to unionize in a string of recent victories, many of these workers will graduate or finish working before their unions can negotiate a first contract. Conversely, some early-year students who stand to benefit the most from unionization as future bargaining unit members do not yet work and are consequently ineligible to vote. The Note identifies this “intertemporal collective-action problem” in graduate organizing, proposes a legal solution, and explores its broader implications for the labor movement.

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INTRODUCTION

Graduate-worker organizing is on a tear. In January 2023, Yale University graduate workers chose to unionize in a resounding victory with ninety-one percent of voting workers backing the union.¹ The month before, an election at Boston University (BU) was even more one-sided, as graduate workers unionized with only twenty-eight (out of over 1,400) votes against.² Yale and BU are just two examples in a string of elections in which graduate workers have displayed virtual unanimity in choosing to unionize.³ In agency fiscal year 2023, the seven largest National Labor

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1. Thomas Breen, *Local 33 Wins Yale Grad Union Election*, NEW HAVEN INDEP. (Jan. 9, 2023), https://www.newhavenindependent.org/article/yale_union_ballot_count [https://perma.cc/EM3K-RDDT].
 2. Rich Barlow, *BU Graduate Students Vote to Unionize in Lopsided Approval*, BU TODAY (Dec. 13, 2022), <https://www.bu.edu/articles/2022/bu-graduate-students-vote-to-unionize-in-lopsided-approval> [https://perma.cc/5BN5-JVWR].
 3. *See, e.g.*, Ryan Quinn, *Johns Hopkins Grad Students Successfully Unionize*, INSIDE HIGHER ED (Feb. 1, 2023), <https://www.insidehighered.com/quicktakes/2023/02/02/johns-hopkins-grad-students-successfully-unionize> [https://perma.cc/2JWX-3JQS] (showing Johns Hopkins University's unionization by 2,053 "yes" votes to sixty-seven "no" votes); Christian Martinez, *USC Graduate Student Workers Vote to Unionize*, L.A. TIMES (Feb. 17, 2023), <https://www.latimes.com/california/story/2023-02-17/usc-graduate-student-workers-vote-yes-to-unionization> [https://perma.cc/2GMP-N42R] (University of Southern California's unionization by 1,599 "yes" votes to 122 "no" votes); David Roeder, *University of Chicago Graduate Student Workers Unionize*, CHI. SUN-TIMES (Mar. 17, 2023), <https://chicago.suntimes.com/education/2023/3/17/23645593/graduate-students-unionize-university-chicago-teaching-research> [https://perma.cc/NRS4-LUG2] (University of Chicago's unionization by 1,696 "yes" votes to 155 "no" votes); Zareen Syed, *Northwestern Graduate Student Workers Vote to Unionize After Years of Organizing*, CHI. TRIB. (Jan. 13, 2023), <https://www.chicagotribune.com/news/education/ct-northwestern-graduate-union-20230113-tmieoxpsdbalhkp313nr4i-story.html> [https://perma.cc/ZDG5-KY9J] (Northwestern University's unionization by 1,644 "yes" votes to 114 "no" votes); Ryan Quinn, *Stanford Graduate Student Workers Vote to Unionize*, INSIDE HIGHER ED (July 7, 2023), <https://www.insidehighered.com/news/quick-takes/2023/07/07/stanford-graduate-student-workers-vote-unionize> [https://perma.cc/XS2S-8D3Z] (Stanford University's unionization by 1,639 "yes" votes to 108 "no" votes); Ryan Quinn, *Duke Grad Student Workers Vote Overwhelmingly to*

Relations Board (NLRB or Board) representation cases closed involved graduate workers,⁴ and in each election, graduate workers voted to form a union.⁵

This series of victories is all the more impressive given that many of the workers who voted for the unions will likely never see any concrete benefit. A large subset of graduate students who are eligible to vote under current precedent are upper-year students.⁶ If past trends are any indication, many of these workers will graduate or finish working before their unions can negotiate a first contract.⁷ Conversely, some early-year students do not work and are consequently ineligible to vote in these landslide victories,

Unionize, INSIDE HIGHER ED (Aug. 24, 2024), <https://www.insidehighered.com/news/quick-takes/2023/08/24/duke-grad-student-workers-vote-unionize> [<https://perma.cc/S9M5-3DF8>] (Duke University's unionization by 1,000 "yes" votes to 131 "no" votes); Ryan Quinn, *Emory Ph.D. Student Workers Unionize, Join Organizing Wave*, INSIDE HIGHER ED (Nov. 29, 2023), <https://www.insidehighered.com/news/quick-takes/2023/11/29/emory-phd-student-workers-unionize-join-organizing-wave> [<https://perma.cc/7F5P-RNB5>] (Emory University's unionization by 909 "yes" votes to seventy-three "no" votes); Emily Scolnick, *The Graduation Issue 2024: Graduate Student Employees, RAs, and Penn Med Residents Unionize*, DAILY PENNSYLVANIAN (May 16, 2024), <https://www.thedp.com/article/2024/05/penn-graduation-issue-graduate-workers-union-roundup> [<https://perma.cc/7ZHX-37MC>] (University of Pennsylvania's unionization by 1,807 "yes" votes to ninety-seven "no" votes).

4. See *Election Report for Cases Closed*, NLRB (Oct. 17, 2023), <https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Fwww.nlr.gov%2Fsites%2Fdefault%2Ffiles%2Fattachments%2Fpages%2Fnod-e-8814%2Ffy-2023-total.xlsx&wdOrigin> [<https://perma.cc/KKG3-QRB3>] (providing the underlying data for this statistic); see also @UnionElections, TWITTER (Dec. 5, 2022, 12:54 PM), <https://twitter.com/UnionElections/status/1599824581325336576> [<https://perma.cc/7THT-T326>] (describing the biggest union election petitions in 2022).
5. See *supra* notes 1-3.
6. Cf. *infra* notes 64-69 (describing the structure of graduate programs).
7. A Bloomberg Law study found that first-contract negotiations take an average of 409 days. Robert Combs, *Analysis: How Long Does It Take Unions to Reach First Contracts?*, BLOOMBERG L. (June 1, 2021), <https://news.bloomberglaw.com/bloomberg-law-analysis/analysis-how-long-does-it-take-unions-to-reach-first-contracts> [<https://perma.cc/4SGX-3LWD>]. About ten percent of initial negotiations took over 700 days, and about five percent took over 1,000 days. *Id.*

even as they stand to benefit the most from unionization as future bargaining unit members.

Graduate-worker unions represent an especially pronounced case of what Brishen Rogers has labeled “an ‘intertemporal’ collective action problem.”⁸ Intertemporal collective-action problems arise in union organizing when a set of current employees makes a unionization decision that affects a future set of workers, and the deciding workers therefore do not fully internalize the results of their decision.⁹ In graduate organizing campaigns, current employees, who may be disproportionately upper-year students, decide whether to unionize, and their decision affects early-year students required to work in the future.¹⁰ Moreover, these current employees do not internalize the full impact of their unionization decision on younger peers. This situation is perhaps the most extreme form of the problem Rogers described: in graduate-worker unions, the “union pioneers who bear the upfront costs of unionization” may not receive *any* “of the benefits” in the future.¹¹

In this Note, I argue that early-year graduate students with degree work requirements should be allowed to participate in union representation procedures. Section 9(a) of the National Labor Relations Act (NLRA or Act) provides that “[r]epresentatives designated or selected for the purposes of collective bargaining by the majority of the *employees in a unit appropriate for such purposes*, shall be the exclusive representatives of all the employees in such unit”¹² This provision, as interpreted by the Board, leads to three key conditions for representation-election eligibility relevant to early-year graduate students. First, voters must be “employees.”¹³ Second, voters who are employees but not currently working must “have a reasonable

8. Brishen Rogers, *Passion and Reason in Labor Law*, 47 HARV. C.R.-C.L. L. REV. 313, 343 (2012) (quoting Mark Barenberg, *Democracy and Domination in the Law of Workplace Cooperation: From Bureaucratic to Flexible Production*, 94 COLUM. L. REV. 753, 933 (1994)).

9. *See id.*; Benjamin Sachs, *Enabling Employee Choice: A Structural Approach to the Rules of Union Organizing*, 123 HARV. L. REV. 655, 682 (2010); Barenberg, *supra* note 8, at 933.

10. *Cf.* Sachs, *supra* note 9, at 694 n.163 (describing how unionization switches the workplace from a “nonunion default” to a “union default” rule).

11. Rogers, *supra* note 8, at 343.

12. 29 U.S.C. § 159(a) (2018) (emphasis added).

13. *See, e.g.*, *Allied Chem. & Alkali Workers, Loc. Union No. 1 v. Pittsburgh Plate Glass Co.*, 404 U.S. 157, 163-68 (1971).

expectation of future employment” with the applicable employer.¹⁴ Third, employee-voters must “share a community of interests” with their peers to support a bargaining unit.¹⁵ Early-year graduate students easily meet the latter two criteria under the NLRB’s seasonal-worker and bargaining-unit doctrines. I argue that the Board and reviewing courts should find early-year graduate students with degree work requirements to be “statutory employees”¹⁶ as well, with attendant voice rights in unionization decisions.

In my research, I have found no union that has submitted a representation petition including these early-year students. Graduate unions and Board officials should include these individuals in election units for strategic and policy reasons, respectively. For graduate unions, early-year students may have stronger incentives to choose union representation than students nearing graduation. Although recent graduate-union elections have generally been “lopsided” in favor of workers,¹⁷ these margins were close in the past, and at least one petitioning union has lost in the last year.¹⁸ Adoption of this Note’s proposal could make a difference if

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14. *E.g.*, *Am. Zoetrope Prods., Inc.*, 207 N.L.R.B. 621, 623 (1973); *Berlitz Sch. of Languages of Am., Inc.*, 231 N.L.R.B. 766, 767 (1977). This requirement is probably best linked to the “appropriate unit” element of Section 9(a), although the Board and reviewing courts have not been entirely clear here. *See, e.g.*, *Berlitz*, 231 N.L.R.B. at 766 (discussing this requirement in the context of reviewing a unit determination); *Trump Taj Mahal Assocs.*, 306 N.L.R.B. 294, 295-96 (1992) (same); *see also* *Knapp-Sherrill Co. v. NLRB*, 488 F.2d 655, 659 (5th Cir. 1974) (framing the issue as linked to unit determination); *Sitka Sound Seafoods, Inc. v. NLRB*, 206 F.3d 1175, 1178-80 (D.C. Cir. 2000) (same); *Winkie Mfg. Co. v. NLRB*, 348 F.2d 254, 257 (7th Cir. 2003) (same). *But see* *NLRB v. Adrian Belt Co.*, 578 F.2d 1304, 1308 (9th Cir. 1978) (suggesting this requirement is linked to the employee determination).
 15. *See, e.g.*, *Pittsburgh Plate Glass*, 404 U.S. at 173. As I discuss below, the Board’s “prework rule,” which adds a fourth condition to the list above, should not apply in this instance. *See infra* Section III.E. The implementation of the prework rule is a policy decision by the Board; it does not follow from the text of the Act itself. *See id.*
 16. *Trustees of Columbia Univ.*, 364 N.L.R.B. 1080, 1080 (2016).
 17. Barlow, *supra* note 2.
 18. *See* Meghana Veldhuis, *Graduate Student Union Vote Fails*, 391-652, DAILY PRINCETONIAN (May 15, 2024), <https://www.dailyprincetonian.com/article/2024/05/princeton-news-adpol-graduate-student-union-vote-fails> [https://perma.cc/4QMD-TY4H]. For older, closer union elections, *see, e.g.*, Shera S. Avi-Yonah & Molly C.

current momentum decreases. In addition, for similar reasons, early-year students can help graduate unions wield a strong hand in contract negotiations. Vote margin is important to bargaining position: An employer will feel more pressure after a ninety-five percent union victory than after a fifty-five percent vote.¹⁹ Participation of early-year students would also signal durable union support and convince universities that they cannot wait out the graduation of union leaders. Finally, recognition of early-year students as employees for voting purposes might allow graduate unions to broaden the scope of contract bargaining. Currently, graduate unions cannot bargain over the stipends of students who are not yet working. Recognizing these students as employees may allow unions to negotiate over these stipends, raising standards for all individuals at a university.

For the Board, allowing early-year graduate students with degree work requirements to participate in representation decisions would further the Act's goal of "employee choice."²⁰ As Benjamin Sachs noted, "The good to be maximized by the rules governing employee decisionmaking on the union question is employee choice."²¹ Board officials have noted the need for

McCafferty, *Union Win Marks Step Forward for Student Labor Movement, Experts Say*, HARVARD CRIMSON (Apr. 23, 2018), <https://www.thecrimson.com/article/2018/4/23/hgsu-election-retrospective>; Anna Delwiche & Josh Girsky, *Union Election Results Too Close to Call*, CORNELL DAILY SUN (Mar. 29, 2017), <https://cornellsun.com/2017/03/29/union-election-results-too-close-to-call>.

19. See John Kallas, Dongwoo Park & Rachel Aleks, *Breaking the Deadlock: How Union and Employer Tactics Affect First Contract Achievement*, 54 INDUS. RELS. 223, 227-28 (2023) ("Unsurprisingly, unions that win a larger percentage of the election vote develop a greater chance of securing a first contract. This provides evidence that union success during a campaign should be measured by both winning an election and the margin of victory." (internal citations omitted)).
20. See Karl E. Klare, *Judicial Deradicalization of the Wagner Act and the Origins of Modern Legal Consciousness, 1937-1941*, 62 MINN. L. REV. 265, 281-85, 299 (1978) (discussing "the goals of the NLRA," including "free choice").
21. Sachs, *supra* note 9, at 659. I agree with Sachs that "[t]his statement is not a claim that the only good sought by the entire regime of labor law is free employee choice, but only a claim that choice is the good sought by those labor law rules that govern employee decisionmaking on the union question." *Id.* at 659 n.10. The Act had several goals, including, importantly, "industrial democracy." See Klare, *supra* note 20, at 281-85; see also Mark Barenberg, *The Political Economy of the Wagner Act: Power, Symbol, and Workplace Cooperation*, 106 HARV. L. REV. 1379, 1438 (1993) ("In his broadest rhetorical

flexible policies that secure employee choice in graduate-worker elections by adopting “lookback formulas” that have allowed “individuals who held a unit position during the prior academic year . . . to vote even where they did not currently hold a unit position.”²² These formulas, while a good start, discriminate between employees with similar employment outlooks based on whether they happen to have worked in the past. The “look-forward” period that this Note proposes would remedy this distinction and better fulfill the Board’s goal to ensure that *all* “employees in a unit appropriate for” collective bargaining have a choice in whether a union will represent them.²³

This Note makes minor contributions to two lines of thinking. First, a series of scholars, notably Rogers,²⁴ Sachs,²⁵ and Mark Barenberg,²⁶ have pointed to intertemporal collective-action problems as part of the reason why there need to be changes to NLRB voting *procedures*. Sachs, for example, argued that workers face “asymmetric impediments to unionization” including intertemporal collective-action problems,²⁷ justifying reformed voting mechanisms that reduce “managerial interference” in representation election campaigns.²⁸ Rogers, noting “coordination problems that may otherwise hinder organizing,” favored a modified card check format with an opportunity for workers to secretly take

moments, [Senator Robert] Wagner depicted industrial democracy through collective bargaining as the inevitable working out of the teleology of freedom.”).

22. Decision and Direction of Election at 14, Northeastern Univ., Case 01-RC-311566 (NLRB July 14, 2023) [hereinafter Northeastern DDE]; *see also* OFF. OF GEN. COUNS., NLRB, AN OUTLINE OF LAW AND PROCEDURE IN REPRESENTATION CASES § 23-400 (2017) [hereinafter OUTLINE OF LAW] (describing the purpose of “special [voter eligibility] formulas”), https://www.nlr.gov/sites/default/files/attachments/basic-page/node-1727/OutlineofLawandProcedureinRepresentationCases_2017Update.pdf [<https://perma.cc/NVV5-3CUX>].
23. 29 U.S.C. § 159(a) (2018); *see also* Am. Zoetrope Prods., Inc., 207 N.L.R.B. 621, 622 (1973) (“[I]t is our responsibility to devise an eligibility formula which will protect and give full effect to the voting rights of those employees who have a reasonable expectancy of further employment with the Employer.”).
24. *See* Rogers, *supra* note 8, at 343.
25. *See* Sachs, *supra* note 9, at 681-82.
26. *See* Barenberg, *supra* note 8, at 933.
27. Sachs, *supra* note 9, at 681-82.
28. *Id.* at 718.

back their signature.²⁹ Barenberg explored a switch to a union default, reasoning that “the default state of unionization would more accurately reflect the undominated long-term subjective preferences of employees.”³⁰ I go in a different direction, arguing that in some number of cases, intertemporal collective-action problems justify changes to NLRB voting *populations*. Early-year graduate students who are required to work for their universities within a couple of years bear the outcome of a union election but have no input in the decision itself. Including these future workers in the voter pool through a broadened employee status will help overcome the intertemporal collective-action problem in graduate organizing, is good policy, and, importantly, is consistent with the NLRA.

Second, several scholars, including George Feldman in the past,³¹ and Diana Reddy in the present,³² have explored the relationship of union members “as workers”³³ to broader social interests. Feldman discussed how the Supreme Court has constrained employee status to confine the “horizontal dimension” of union representation, preventing unions from representing a broader range of interests (e.g., the interests of retirees) in collective bargaining.³⁴ Reddy recently compared the growing view of unions as agents for the public good with continuing ambivalence about the actual mandate of these organizations to “help[] workers improve their jobs.”³⁵ Graduate unions have something to contribute to this discourse, as upper-year graduate students who vote for a union based on their personal experience as graduate workers, and despite the fact that they will graduate or finish working before a first contract, effectively endorse unions as agents of workplace change for their peers. In this way, the intertemporal

29. Rogers, *supra* note 8, at 364-65.

30. Barenberg, *supra* note 8, at 960. After noting that “a mandate of ‘default’ unionization would likely launch [his] proposals into the political ozone,” Barenberg offered a proposal for “[g]overnment-[f]acilitated [d]eliberative [c]onferences” that would “afford employees a protected forum, radically removed from the day-to-day context of employer authority, in which they can openly discuss their workplace governance options.” *Id.* at 962.

31. George Feldman, *Unions, Solidarity, and Class: The Limits of Liberal Labor Law*, 15 BERKELEY J. EMP. & LAB. L. 187, 220-25 (1994).

32. Diana Reddy, *After the Law of Apolitical Economy: Reclaiming the Normative Stakes of Labor Unions*, 132 YALE L.J. 1391, 1394-95 (2023).

33. *Id.* at 1396 (emphasis omitted); Feldman, *supra* note 31, at 270.

34. Feldman, *supra* note 31, at 200-01.

35. Reddy, *supra* note 32, at 1452.

collective action problem in graduate unions is a ripe setting to continue the conversation Reddy began about valuing unions for “doing exactly what they are statutorily designed to do.”³⁶

Part I introduces graduate-worker organizing and the structure of graduate programs. Part II offers a short summary of the NLRB election process and traces the judicial and administrative construction of an unordinary, “ordinary meaning” of NLRA employment. Part III reviews literature on intertemporal collective-action problems, including in union organizing, and recognizes that organizing graduate workers face a particularly acute form of this problem. It then proposes allowing certain early-year graduate students to participate in union-representation procedures, finding that these students share a community of interests with upper-year peers, have a near-certain expectation of future employment, and can be statutory employees. Part IV briefly discusses support for graduate unions as agents of workplace change and concludes.

I. INTRODUCTION TO GRADUATE ORGANIZING AND PROGRAMS

In 1935, Congress passed the NLRA, which protects the rights of “employees” to organize labor unions and “engage in other concerted activities for the purpose of collective bargaining or other mutual aid or protection.”³⁷ Section 10 of the Act assigns the NLRB the responsibility “to prevent any person from engaging in any unfair labor practice,”³⁸ which includes interference with employee organizing rights.³⁹ The NLRA does not cover public-sector workers⁴⁰: federal employees have certain organizing rights under the federal civil-service statute,⁴¹ and state and local government employees rely on state laws for labor-law protections, if any exist.⁴² Among private-sector workers, the Act’s coverage is generally industry-agnostic. Other than specific exclusions for agricultural, domestic,

36. *Id.* at 1451 (emphasis omitted).

37. 29 U.S.C. § 157 (2018).

38. *Id.* § 160(a).

39. *Id.* § 158(a)(1).

40. *See id.* § 152(2) (excluding state and local governments from the Act’s definition of “employer”).

41. *See* 5 U.S.C. §§ 7111-7120 (2018).

42. *See infra* note 46.

and certain transportation workers, the text of the NLRA establishes a “uniform standard” for statutory employment.⁴³

Graduate-student workers at the University of Wisconsin formed the first graduate union in 1969.⁴⁴ Throughout the late twentieth century, graduate organizing flourished at many state universities,⁴⁵ where local public-sector bargaining regimes governed labor relations between administrators and graduate assistants.⁴⁶ At the same time, graduate workers at private universities covered by the NLRA faced an uphill legal battle for union recognition.⁴⁷ In the 1970s, the NLRB successively “held that graduate assistants should be excluded from a bargaining unit of university faculty members because they did not share a community of interest with the faculty”⁴⁸ and “that certain university research assistants were ‘primarily students’ and thus *not* statutory employees.”⁴⁹ Organizing in an unfavorable legal regime and facing at-times bitterly hostile university

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43. 29 U.S.C. § 152(3) (2018); Andrew Boccio, Comment, *Student Assistants and the NLRB: A Call for Notice-and-Comment Rulemaking*, 48 SETON HALL L. REV. 193, 197 (2017); see also Anne Marie Lofaso, *The Vanishing Employee: Putting the Autonomous Dignified Union Worker Back to Work*, 5 FIU L. REV. 495, 520 (2010) (“[T]he Board uniformly interprets the term ‘employee’ . . .”).
44. Teresa Kroeger, Celine McNicholas, Marni von Wilpert & Julia Wolfe, *The State of Graduate Student Employee Unions*, ECON. POL’Y INST. 2 (Jan. 11, 2018), <https://files.epi.org/pdf/138028.pdf> [<https://perma.cc/L6WL-CWFL>].
45. See Grant Hayden, “*The University Works Because We Do*”: *Collective Bargaining Rights for Graduate Assistants*, 69 FORDHAM L. REV. 1233, 1241-43 (2001).
46. See, e.g., *id.*; Trustees of Columbia Univ., 364 N.L.R.B. 1080, 1088 (2016); *Columbia*, 364 N.L.R.B. at 1107 n.35 (Miscimarra, Member, dissenting).
47. See Zachary Angulo, Note, *The NLRB and Graduate-Worker Employee Status: Past, Present, and Future*, 41 BERKELEY J. EMP. & LAB. L. 187, 193-207 (2020).
48. *Columbia*, 364 N.L.R.B. at 1081 (discussing *Adelphi Univ.*, 195 N.L.R.B. 639 (1972)).
49. *Id.* (quoting *Leland Stanford Junior Univ.*, 214 N.L.R.B. 621, 623 (1974)).

administrations,⁵⁰ graduate unions were unable to gain a foothold at private universities throughout the 1990s.⁵¹

In 2000, the Board “opened the door”⁵² for graduate organizing at private schools, finding in *New York University* “that certain university graduate assistants were statutory employees.”⁵³ In 2004, however, the NLRB slammed the door shut, reverting in *Brown University* to the previous NLRA exclusion.⁵⁴ In 2016, the NLRB switched positions once more, deciding in *Columbia University* “that student assistants who perform work at the direction of their university for which they are compensated are statutory employees.”⁵⁵ Despite fears of yet another reversal during the Trump Administration,⁵⁶ the NLRB did not get a chance to revisit *Columbia* between 2017 and 2020.⁵⁷ *Columbia*, and its holding that graduate workers are employees under the Act, “remains good law today.”⁵⁸

Graduate unions attempt to organize a large swath of workers. The number of graduate workers has grown rapidly in recent decades, with graduate employment increasing by about seventeen percent between

50. See, e.g., Hayden, *supra* note 45, at 1238-40; Corey Robin, *Blacklisted and Blue: On Theory and Practice at Yale*, in *STEAL THIS UNIVERSITY: THE RISE OF THE CORPORATE UNIVERSITY AND THE ACADEMIC LABOR MOVEMENT* 107, 107-08 (Benjamin Johnson, Patrick Kavanagh & Kevin Mattson eds. 2003); Gordon Lafer, *Graduate Student Unions: Organizing in a Changed Academic Economy*, 28 *LAB. STUD. J.* 25, 36-37 (2003).

51. Lafer, *supra* note 50, at 25.

52. *Id.* at 26.

53. *Columbia*, 364 N.L.R.B. at 1081 (discussing N.Y. Univ., 332 N.L.R.B. 1205 (2000)).

54. *Id.* at 1082 (discussing Brown Univ., 342 N.L.R.B. 483 (2004)).

55. *Id.* at 1082-83.

56. See Michael Oswald, *Liminal Labor Law*, 110 *CALIF. L. REV.* 1855, 1923-24 (2022).

57. The Board began, but failed to complete, a rulemaking on graduate-worker employment status. See Jon Levitan, *NLRB Abandons Rulemaking that Would Have Stripped Graduate Students Workers of Right to Unionize*, ONLABOR (Mar. 12, 2021), <https://onlabor.org/nlr-abandons-rulemaking-that-would-have-stripped-graduate-students-workers-of-right-to-unionize> [<https://perma.cc/M8D7-XUFM>]; see also Oswald, *supra* note 56, at 1924 (discussing Board rulemaking); Angulo, *supra* note 47, at 207-14 (same).

58. *Columbia*, 364 N.L.R.B. at 1082 (discussing Bos. Med. Ctr., 330 N.L.R.B. 152 (1999)).

2005 and 2015.⁵⁹ Data from 2012 suggest that over ten percent of graduate students, including almost sixty percent of Ph.D. candidates, serve as teaching assistants or research assistants.⁶⁰ In 2016, graduate unions represented over 64,000 graduate workers at public universities.⁶¹ The total number of unionized graduate workers has exploded in the years since graduate workers at private universities gained organizing rights: in NLRB fiscal year 2023, about 22,000 graduate workers unionized in the seven largest finalized elections alone.⁶² These elections have certified notably large units that, in many cases, outnumber the median private-sector unit by several orders of magnitude.⁶³

Graduate workers encompass master's, professional, and doctoral students. But doctoral candidates have consistently driven graduate organizing and formed the bulk of bargaining units. As the NLRB noted in *Columbia*, Ph.D. programs may require students to perform teaching or research work.⁶⁴ Some institutions backload this work in the degree program. At Yale, for example, many doctoral students can expect to “teach in their third, fourth, and sixth years” of degree studies.⁶⁵ At Columbia, the Board similarly found that “[i]n most students’ second through fourth year, taking on teaching or research duties is a condition for full receipt of [doctoral] funding,” while “the first and fifth years are funded without a condition of service.”⁶⁶ This setup creates an uneven distribution of

59. Kroeger et al., *supra* note 44, at 1.

60. *Id.*

61. *Id.* at 2.

62. See *Election Report for Cases Closed*, *supra* note 4; see also Oswald, *supra* note 56, at 1921 n.474 (citing a 2020 source “listing thirty representation petitions—twenty-six successful—covering twenty-six thousand [graduate assistants] since winning NLRA rights in late 2016”).

63. See @UnionElections, *supra* note 4; *Size of Bargaining Units in Elections*, NLRB, <https://www.nlr.gov/reports/nlr-case-activity-reports/representation-cases/election/size-of-bargaining-units-in> [<https://perma.cc/MC2M-2H8M>] (indicating a median election unit of twenty-one workers in 2023).

64. Trustees of Columbia Univ., 364 N.L.R.B. 1080, 1092 (2016).

65. *Graduate School of Arts and Sciences Programs and Policies 2024-2025: Financial Aid*, YALE UNIV., <https://catalog.yale.edu/gsas/financing/financial-aid> [<https://perma.cc/5HDD-J4FW>].

66. *Columbia*, 364 N.L.R.B. at 1092; see also *Assistantships*, PRINCETON UNIV., <https://gradschool.princeton.edu/financial-support/assistantships> [<https://perma.cc/QY9R-VB93>] (“Ordinarily, first year students in Ph.D.

graduate workers by year at these schools: early-year students are relatively unlikely to be performing academic labor, whereas many mid-year and upper-year students work as graduate assistants. Importantly, even when upper-year students do not have to work, many still do so. Data from supplemental briefings following the *Columbia* decision suggested that, at Columbia, about thirty-four percent of fifth-year doctoral candidates worked as graduate assistants later in their studies—that is, in their fifth year or beyond.⁶⁷ As explored further below, this distribution of workers by year contributes to “an ‘intertemporal’ collective action problem”⁶⁸ that affects graduate organizing.⁶⁹

To date, scholarship on graduate-worker unions and labor relations has generally followed one of two lines of inquiry. One set of scholars has developed arguments around the NLRA employee status of all graduate workers or certain classes of them.⁷⁰ For example, the employee status of student-athletes has been a particularly popular topic in recent

programs are not appointed as [Assistantships in Instruction].”); *Teaching Assistants*, FLA. ST. UNIV., https://ir.fsu.edu/indicators/faculty/teaching_assistants.aspx [<https://perma.cc/6VD2-4GQK>] (showing that over sixty-five percent of referenced teaching assistants had completed at least eighteen credits).

67. Supplemental Decision and Direction of Election at 3, *Columbia*, 364 N.L.R.B. 1080 (2016).
68. Rogers, *supra* note 8, at 343.
69. The evidence behind this distribution is admittedly limited due to data availability, and some programs have more early-year students working. At the University of Connecticut, for example, eighty-three percent of first-year doctoral candidates are graduate assistants, compared with sixty-six percent of other candidates. Email from Kayla Postler, Pub. Recs. Assoc. & Trademark Mgmt. Coordinator, Univ. of Conn., to author (July 19, 2023, 7:42 AM) (on file with author) (providing this data from a Freedom of Information request). This distribution is not necessary for the intertemporal collective-action problem to arise; the cutoff of upper-year graduate workers at graduation suffices. In cases where it holds, it makes the problem more pronounced.
70. See, e.g., Hayden, *supra* note 45, at 1234-35; Angulo, *supra* note 47, at 215; Boccio, *supra* note 43, at 194; Leslie Crudele, Note, *Graduate Student Employees or Employee Graduate Students: The National Labor Relations Board and the Unionization of Graduate Student Workers in Postsecondary Education*, 10 WM. & MARY BUS. L. REV. 739, 744 (2019).

discussions.⁷¹ A second group of authors has performed historical and sociological research on the experiences of graduate workers and the repression of graduate organizing.⁷² These pieces describe what concerted action has been like on the ground, and how universities and government officials have responded. This Note contributes to both lines of thinking. In Part III, the Note offers a legal argument about NLRA employment. I argue that early-year students who are not yet working are likewise NLRA “employees.” Then, in Part IV, the Note connects the experience of upper-year graduate workers to broader themes in labor organizing and bargaining. The Note therefore centers a population that has gone unaddressed in the literature so far and analyzes graduate organizing using a new framing: the intertemporal collective-action problem.

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71. See, e.g., Rohith A. Parasuraman, Note, *Unionizing NCAA Division I Athletics: A Viable Solution?*, 57 DUKE L.J. 727 (2007); Kassie Lee Richbourg, *Northwestern’s Football Players: Unified Team or Unionized Regime? An Analysis on the Collective Bargaining Rights of Student-Athletes*, 11 DEPAUL J. SPORTS L. 1 (2015); Michael Pego, Comment, *The Delusion of Amateurism in College Sports: Why Scholarship Student Athletes Are Destined To Be Considered “Employees” Under the NLRA*, 13 FIU L. REV. 277 (2018); Matthew Ehrhardt, Note, *The Money Game: Student-Athletes’ Battle For Employee Status*, 67 N.Y.L. SCH. L. REV. 61 (2023); Nick Tremps, Note, *The Memorandum Heard Around the College Athletics World: Why Student-Athletes in Non-Revenue-Generating Sports Should Not Enjoy the Status of “Employee” Under the NLRA*, 14 WAKE FOREST L. REV. ONLINE 47 (2024).
72. See, e.g., Robin *supra* note 50, at 107-08; Oswalt, *supra* note 56, at 1919-25; Julia Tomassetti, *Who Is a Worker? Partisanship, the National Labor Relations Board, and the Social Content of Employment*, 37 LAW & SOC. INQUIRY 815, 843-44 (2012); Mark Oppenheimer, *Graduate Students, The Laborers of Academia*, NEW YORKER (Aug. 31, 2016), <https://www.newyorker.com/business/currency/graduate-students-the-laborers-of-academia> [https://perma.cc/PEK7-JVG7]; Alyssa Battistoni et al., *After Columbia*, N+1 (Aug. 26, 2016), <https://www.nplusonemag.com/online-only/online-only/after-columbia> [https://perma.cc/FKS3-PJDG]; E. Tammy Kim, *How the Yale Unions Took Over New Haven*, NEW YORKER (Oct. 23, 2023), <https://www.newyorker.com/news/dispatch/how-the-yale-unions-took-over-new-haven> [https://perma.cc/4Z9H-7A3Z].

II. THE UNORDINARY, “ORDINARY MEANING” NLRA EMPLOYEE

The NLRB election process begins when workers file an election petition with the Board.⁷³ The petition must demonstrate a minimum of thirty-percent support from the unionizing workforce,⁷⁴ which filers will often prove through signed union cards.⁷⁵ Assuming a valid petition, the Board “will seek an election agreement between the employer, union, and other parties setting the date, time, and place for balloting” and an “appropriate unit.”⁷⁶ A regional director resolves any disputes over unit contours and voter eligibility at a hearing, and the Board sets an election date.⁷⁷ After the election, “a union that receives a majority of the votes cast is certified as the employees’ bargaining representative and is entitled to be recognized by the employer as the exclusive bargaining agent for the employees in the unit.”⁷⁸

The NLRA gives organizing rights to “employees,”⁷⁹ and defines the term to “‘include any employee,’ subject only to certain specifically enumerated exceptions.”⁸⁰ Given the definition’s “exquisite circularity,”⁸¹ the Supreme Court has had to set the boundaries of the definition, with guidance and support from interstitial decisions by the NLRB.⁸² In these

73. *Conduct Elections*, NLRB, <https://www.nlr.gov/about-nlr/about-nlr/what-we-do/conduct-elections> [<https://perma.cc/867H-ULNT>]; see also Rogers, *supra* note 8, at 322-23 (describing “the organizing process under current law”); Sachs, *supra* note 9, at 664-67 (reviewing “the current NLRA procedure”).

74. *Conduct Elections*, *supra* note 73.

75. Sachs, *supra* note 9, at 665.

76. *Conduct Elections*, *supra* note 73.

77. *Id.*

78. *Id.* Employers may choose to skip the election process and “voluntarily recognize a union after showing majority support by signed authorization cards or other means.” *Id.* For the sake of simplicity, this Note uses the elections language, but its analysis also applies to voluntary recognition decisions.

79. 29 U.S.C. §§ 157-159(a) (2018).

80. *Sure-Tan, Inc. v. NLRB*, 467 U.S. 883, 891 (1984) (citation omitted) (quoting 29 U.S.C. § 152(3)).

81. Oswald, *supra* note 56, at 1867.

82. See *NLRB v. Town & Country Elec., Inc.*, 516 U.S. 85, 89-90 (1995); see also *The Atlanta Opera, Inc.*, 372 N.L.R.B. No. 95, at *12 (noting that, when classifying

cases, decisionmakers have created an “ordinary meaning”⁸³ test for employment far broader than the “dictionary definition of employee.”⁸⁴

According to Court precedent, “[t]he ordinary dictionary definition of ‘employee’ includes any ‘person who works for another in return for financial or other compensation.’”⁸⁵ In *Allied Chemical & Alkali Workers v. Pittsburgh Plate Glass Co.*, the Court applied a similar definition and found that retirees were not statutory employees, concluding that “[t]he ordinary meaning of ‘employee’ does not include retired workers; retired employees have ceased to work for another for hire.”⁸⁶ Similarly, in *NLRB v. Town & Country Electric*, the Court applied the definition quoted above to find that salts were statutory employees under a “broad, literal reading of the statute.”⁸⁷

In these same cases, however, the Court has admitted that it has often failed to follow a “literal” standard.⁸⁸ As the *Pittsburgh Plate Glass* Court recognized,⁸⁹ the Court has found that job applicants can be statutory employees with a claim to the NLRA’s protections.⁹⁰ The same Court noted the NLRA’s solicitude for “registrants at hiring halls—who have never been

workers as employees or independent contractors, “the Board ‘must color within the . . . lines identified by the judiciary’” (quoting *Browning-Ferris Indus. of Cal., Inc. v. NLRB*, 911 F. 3d 1195, 1208 (D.C. Cir. 2018)); Lofaso, *supra* note 43, at 520 (“It is now uncontroversial that the Board, not the Courts, have the primary task of determining the contours of the term employee.” (internal quotation marks omitted)); *cf.* Richbourg, *supra* note 71, at 8 (emphasizing the Supreme Court’s “crucial role in helping to define and give meaning to the term ‘employee’”).

83. *Allied Chem. & Alkali Workers, Loc. Union No. 1 v. Pittsburgh Plate Glass Co.*, 404 U.S. 157, 168 (1971).
84. *Town & Country*, 516 U.S. at 90 (internal quotation marks omitted). This discussion builds on the work of Feldman, *supra* note 31, at 220-25, and extends his doctrinal analysis of *Pittsburgh Plate Glass* to newer cases.
85. *Town & Country*, 516 U.S. at 90 (quoting AMERICAN HERITAGE DICTIONARY 604 (3d ed. 1992)).
86. *Pittsburgh Plate Glass*, 404 U.S. at 168.
87. *Town & Country*, 516 U.S. at 91. Salts are “company workers who are also paid union organizers.” *Id.* at 89.
88. *See* Feldman, *supra* note 31, at 221.
89. *Pittsburgh Plate Glass*, 404 U.S. at 168.
90. *Phelps Dodge Corp. v. NLRB*, 313 U.S. 177, 189-93 (1941); *see also* Feldman, *supra* note 31, at 221-22 (noting the Supreme Court’s reference to the *Phelps Dodge* doctrine in *Pittsburgh Plate Glass*).

hired in the first place.”⁹¹ Neither job applicants nor hiring hall registrants meet the “ordinary dictionary definition of employee,”⁹² because they do not “work[] for another in return for financial or other compensation.”⁹³ Nevertheless, Court precedent has extended statutory protections to these individuals “as a means towards the accomplishment of the main object of the legislation.”⁹⁴ On the whole, the Supreme Court’s test for NLRA employment can best be described as an “unordinary” ordinary meaning standard: the test covers both workers actively employed by a firm (ordinary employees) and workers who are not currently employed but are linked to a firm in a meaningful way (unordinary employees).⁹⁵

In recent years, lower courts have continued to take a not-so-literal approach on the “borderland”⁹⁶ of NLRA employment. In *International Alliance of Theatrical and Stage Employees (IATSE) v. NLRB*, a panel of the D.C. Circuit concluded that hiring-hall registrants were “employee[s] who engaged in a strike” even though “a number of the registrants had never been referred to any of the Employers involved in [the] case.”⁹⁷ The court found the holding so obvious that it refused to give *Chevron* deference to the

91. *Pittsburgh Plate Glass*, 404 U.S. at 168.

92. *Town & Country*, 516 U.S. at 90 (internal quotation marks omitted); see also Feldman, *supra* note 31, at 221 (noting that hiring hall registrants are not “ordinary meaning” employees “but are nonetheless covered by the Act”).

93. *Town & Country*, 516 U.S. at 90.

94. *Phelps Dodge*, 313 U.S. at 186; see also Feldman, *supra* note 31, at 222 (concluding that “[t]he Court must be interpreting the meaning of ‘employee’ in light of the policy of the Act”).

95. Notably, the *Pittsburgh Plate Glass* Court justified the coverage of applicants and registrants because “these cases involved people who, unlike the pensioners here, were members of the active work force available for hire and at least in that sense could be identified as ‘employees.’” 404 U.S. at 168. The Court added that “[n]o decision under the Act is cited, and none to our knowledge exists, in which an individual who has ceased work without expectation of further employment has been held to be an ‘employee.’” *Id.* This paragraph implicitly recognizes that the “expectation of further employment” is relevant to the employee analysis.

96. *NLRB v. Hearst Publ’ns, Inc.*, 322 U.S. 111, 121 (1944).

97. 334 F.3d 27, 29 (D.C. Cir. 2003) (quoting 29 U.S.C. § 158(d) (2018)). The Circuit was interpreting NLRA Section 8(d)’s coverage of “employees who engaged in a strike.” *Id.* at 32 (quoting 29 U.S.C. § 158(d)). The Circuit and the Board agreed that the registrants were also “employees” under NLRA Section 2(3)’s general definition. *Id.* at 33-34.

NLRB's opposite conclusion,⁹⁸ finding it "unreasonable"⁹⁹ even though the registrants were clearly not "ordinary meaning" employees.

The Board has used similarly "unordinary" reasoning, even when excluding workers from employee status. The Board has consistently maintained a broader definition of "employee" than the *Pittsburgh Plate Glass* Court, stating that "employee" covers "members of the working class generally."¹⁰⁰ In *WBAI Pacifica Foundation*, the Board, distinguishing cases like *Phelps Dodge*,¹⁰¹ concluded that "unpaid staff are not employees within the meaning of Section 2(3) because there is no economic aspect to their relationship with the Employer, either actual or anticipated."¹⁰² In *Amnesty International*, the Board applied *WBAI Pacifica* to "unpaid interns," finding that they were not statutory employees because they "did not receive or anticipate any economic compensation from the Respondent."¹⁰³ The *Amnesty* Board majority cited the lack of "evidence that the Respondent ever hired interns as paid staff members following their internships" as an important basis for its determination.¹⁰⁴ The Board's focus on "actual or anticipated" compensation and future hiring in these decisions would be incorrect under a literal "ordinary meaning" analysis; "anticipated" compensation is irrelevant to the *Town & Country* definition. Yet both decisions were unanimous judgments, and the concurrence in *Amnesty* might have used an even wider rule.¹⁰⁵

Taken together, judicial and administrative precedents have effectively created an unordinary "ordinary meaning" NLRA employee that "broadly"¹⁰⁶ includes individuals with at least some "rudimentary economic

98. *Id.* at 33.

99. *Id.* at 34-35.

100. *Little Rock Crate & Basket Co.*, 227 N.L.R.B. 1406, 1406 (1977) (showing that "unordinary" definitions of employee status continued post-*Pittsburgh Plate Glass*); *see also* *Healthy Minds, Inc.*, 371 N.L.R.B. No. 6, at *3 n.13 (2021) (same). This definition makes sense in the representation context if read with the full text of Section 9(a); a union can represent "members of the working class" who fit into an "appropriate" unit. *See* 29 U.S.C. § 159(a).

101. *WBAI Pacifica Found.*, 328 N.L.R.B. 1273, 1274 (1999).

102. *Id.* at 1275.

103. *Amnesty Int'l of the USA, Inc.*, 368 N.L.R.B. No. 112, at *3 (Nov. 12, 2019).

104. *Id.* at *2 n.6.

105. *See id.* at *4 n.4 (McFerran, Member, concurring in the result) ("[T]he majority's cursory analysis of the issue is unpersuasive.").

106. *Sure-Tan, Inc. v. NLRB*, 467 U.S. 883, 891 (1984).

Intertemporal Collective-Action Problems in Graduate-Worker Organizing

relationship, actual or anticipated”¹⁰⁷ to an employer. While decisions repeatedly point to opinions referencing “ordinary meaning” as leading precedent, they construct the “employee” definition in a different way in practice.¹⁰⁸ The judicially created “ordinary meaning” NLRA employee can either work for an employer now or expect to do so in the future, opening the door to coverage for the early-year students discussed below.

III. OVERCOMING INTERTEMPORAL COLLECTIVE-ACTION PROBLEMS IN GRADUATE ORGANIZING

A. Intertemporal Collective-Action Problems and Graduate Workers

In the last twenty-five years, scholars have begun to apply the teachings of behavioral economics to the study of law through the field of “behavioral law and economics.”¹⁰⁹ As leading authors in the field have described, “[t]he task of behavioral law and economics, simply stated, is to explore the implications of *actual* (not hypothesized) human behavior for the law.”¹¹⁰ Labor-law scholars have begun to develop a “labor law and behavioral economics” that explores the ways in which “various cognitive distortions and biases” may depress unionization below a utility-maximizing standard.¹¹¹ Central to this effort has been an expanded understanding of the ways in which individual and collective behavior manifests in collective-action problems that affect worker organizing.

Scholars have highlighted several types of collective-action problems in union organizing. First, workers have the “classic collective action problem,” in which “workers may fail to organize due to the high short-term costs of organizing.”¹¹² Second, workers face the “intrapersonal collective action problem” due to internal discounting of the long-run benefits of unionization compared to the serious immediate risks of an organizing

107. *WBAI Pacifica Found.*, 328 N.L.R.B. 1273, 1274 (1999).

108. *Cf. Richbourg*, *supra* note 71, at 10 (“[T]he Board’s departure from the common law structure has been the trend when dealing with unorthodox groups of workers.”).

109. Christine Jolls, Cass R. Sunstein & Richard Thaler, *A Behavioral Approach to Law and Economics*, 50 *STAN. L. REV.* 1471, 1476 (1998).

110. *Id.*

111. Rogers, *supra* note 8, at 343.

112. *Id.*

campaign.¹¹³ Third, workers face the “intertemporal collective action problem,”¹¹⁴ where “the workers who bear the upfront costs of unionization are not able *in fact* to recapture those costs through downstream rewards.”¹¹⁵ In other words, workers who decide to unionize do not get the full benefits of their decision, while future workers bear the fruits of a decision in which they had no voice. Experimental behavioral-economics research suggests that these intertemporal problems seriously impede collective action in settings like worker organizing where “the benefits of cooperation are shifted into the future.”¹¹⁶ For example, Felix Kölle and Thomas Lauer found “a significant and substantial decrease in” cooperation in an immediate cost, future benefit scenario akin to unionization.¹¹⁷

Graduate workers seeking to unionize face a particularly acute form of intertemporal collective-action problem. Many graduate assistants who are active workers, and therefore eligible to vote in NLRB elections under current law, are upper-year students. These students may graduate or finish working before the union can negotiate a first contract, meaning that they may receive virtually no tangible benefits from the decision to unionize. In contrast, early-year students who have work requirements attached to their degree program are almost certain to work (and consequently enjoy the benefits of the decision to unionize). These workers, however, do not have a say in the unionization decision under a traditional eligibility analysis if they are not yet working.

Graduate-worker organizing may therefore approach what Thomas Schelling described as “[i]ntergenerational [d]iscounting.”¹¹⁸ As explained by Kölle and Lauer, intergenerational discounting includes “cases [where] benefits might not even be claimed by oneself but only by subsequent

113. Sachs, *supra* note 9, at 681 (quoting Cass R. Sunstein, *Preferences and Politics*, 20 PHIL. & PUB. AFFS. 3, 26 (1991)).

114. Rogers, *supra* note 8, at 343 (internal quotation marks omitted).

115. Sachs, *supra* note 9, at 682.

116. Felix Kölle & Thomas Lauer, *Understanding Cooperation in an Intertemporal Context*, MGMT. SCI., Jan. 17, 2024, at 1, <https://pubsonline.informs.org/doi/epdf/10.1287/mnsc.2020.03757> [<https://perma.cc/4S8R-BW2F>].

117. *Id.* at 7.

118. Thomas C. Schelling, *Intergenerational Discounting*, 23 ENERGY POL’Y 395, 395 (1995).

generations.”¹¹⁹ In graduate-worker organizing, the benefits of the decision to unionize by upper-year students may accrue only to future “generations” of graduate workers. The outcomes of classic intergenerational discounting cases like climate change¹²⁰ suggest how serious an obstacle intertemporal collective-action problems may be for graduate organizers.

This Note argues that labor law already provides a solution to the intertemporal collective-action problem in graduate-worker organizing. Specifically, it argues that early-year students who are not yet working but have degree work requirements should be eligible to participate in NLRB representation procedures. Section 9(a) of the Act provides that “[r]epresentatives designated or selected for the purposes of collective bargaining by the majority of the *employees in a unit appropriate for such purposes*, shall be the exclusive representatives of all the employees in such unit”¹²¹ This provision, as interpreted by the Board, leads to three key conditions for representation-election eligibility relevant to early-year graduate students. First, voters must be “employees”;¹²² I outlined the “unordinary” NLRA employee definition in Part II.¹²³ Second, voters who are employees but not currently working must “have a reasonable expectation of future employment” with the applicable employer.¹²⁴ Third, employee voters must “share a community of interests” with their peers to support a bargaining unit.¹²⁵ Early-year graduate students with degree work requirements pass all three tests. Although commenters may point to the so-called “prework rule” as barring early-year graduate students from voting, NLRB regional directors have already approved an eligibility formula that replaces the prework rule in graduate-worker elections.¹²⁶ These officials could extend these formulas through a “look-forward” period

119. Felix Kölle & Thomas Lauer, Cooperation, Discounting and the Effects of Delayed Costs and Benefits 2 n.1 (Aug. 2019) (unpublished manuscript), https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3260626 [<https://perma.cc/VBZ6-HKAD>].

120. See Schelling, *supra* note 118, at 495.

121. 29 U.S.C. § 159(a) (2018) (emphasis added).

122. See *infra* Section III.D.

123. See *supra* Part II.

124. See *infra* Section III.C.

125. See *infra* Section III.B.

126. See *infra* Section III.E.

that captures early-year graduate students with degree work requirements.¹²⁷

The rest of this Part analyzes early-year graduate students on each condition. I take the conditions in reverse order based on ease of resolution. I conclude by discussing modifications to voter-eligibility formulas that would implement this Note's proposal.

B. Community of Interests

First, Supreme Court and NLRB precedent requires voters to “share a community of interests” with their peers.¹²⁸ The Court and the Board have emphasized the importance of “mutuality of interest” in bargaining unit determination,¹²⁹ “[i]f the petitioned-for employees have a sufficient mutuality of interests, then the unit is, absent countervailing considerations, appropriate for collective bargaining.”¹³⁰ Under the Board's recent *American Steel Construction* decision covering “subdivision” units,¹³¹ “the petitioned-for unit must be (1) homogeneous, (2) identifiable, and (3) separate or sufficiently distinct.”¹³² Graduate students as a whole are clearly

127. *See id.*

128. *Allied Chem. & Alkali Workers, Loc. Union No. 1 v. Pittsburgh Plate Glass Co.*, 404 U.S. 157, 173 (1971).

129. *Am. Steel Constr.*, 372 N.L.R.B. No. 23, at *3 (2022) (quoting *Pittsburgh Plate Glass*, 404 U.S. at 172).

130. *Id.*

131. *Id.* (quoting 29 U.S.C. § 159(b) (2018)).

132. *Id.* (internal quotation marks omitted).

both “identifiable”¹³³ and “sufficiently distinct,”¹³⁴ so this Section will focus on homogeneity.

Homogeneity “simply reflects the principle . . . that petitioned-for employees must share a community of interest that renders the unit suitable for collective bargaining.”¹³⁵ *American Steel Construction* outlines numerous factors for the community-of-interest analysis.¹³⁶ Setting aside when they will work, early-year students meet most, if not all, of these factors, as they will do the same work as their older peers only later in time.¹³⁷ Critics may argue that the bargaining interests of early- and later-year students are different; perhaps later-year students place greater value on family-oriented benefits like childcare than their (on average) younger peers. As a legal matter, relatively minor differences in bargaining priorities

133. Identifiability “is met where the unit employees can ‘logically and reasonably be segregated from other employees for the purposes of collective bargaining.’” *Id.* at *5 (quoting *Champion Mach. & Forging Co.*, 51 N.L.R.B. 705, 707-08 (1943)). “Put differently, there must be a ‘substantial, rational basis’ for the unit’s contours.” *Id.* (quoting *Johnson Controls, Inc.*, 322 N.L.R.B. 669, 672 (1996)). A unit boundary turning on graduate-worker status has “a substantial, rational basis.” *Id.* (internal quotation marks omitted). In *Columbia*, the Board summarily found identifiability satisfied. *See Trustees of Columbia Univ.*, 364 N.L.R.B. 1080, 1098 (2016).

134. Sufficient distinction fails if a unit “excludes employees who cannot rationally be separated from the petitioned-for employees on community-of-interest grounds.” *Am. Steel Constr.*, 372 N.L.R.B. No. 23, at *5. Graduate workers have substantially different work tasks and characteristics from other university employees, representing a sufficient distinction from these workers.

135. *Id.*

136. *Id.* at *3 (“This well-established test considers whether the employees are organized into a separate department; have distinct skills and training; have distinct job functions and perform distinct work, including inquiry into the amount and type of job overlap between classifications; are functionally integrated with the employer’s other employees; have frequent contact with other employees; interchange with other employees; have distinct terms and conditions of employment; and are separately supervised.”).

137. *Cf. NLRB v. C.H. Sprague & Son Co.*, 428 F.2d 938, 940 (1st Cir. 1970) (finding that seasonal “winter drivers . . . share a community of interest with the other employees and possess a substantial interest in employment conditions that would warrant inclusion in the same bargaining unit”).

are not enough to “negate” an otherwise valid community.¹³⁸ In *Columbia*, for example, the Board found a bargaining unit including doctoral, graduate, and undergraduate workers to “constitute a readily identifiable grouping of employees within the university’s operations that share a community of interest.”¹³⁹

Given that the temporal element is the main distinction, a comparison to the Supreme Court’s community-of-interest discussion in the *Pittsburgh Plate Glass* retiree case may be most insightful. In *Pittsburgh Plate Glass*, the Court found that “active and retired employees . . . plainly do not share a community of interests broad enough to justify inclusion of the retirees in the bargaining unit.”¹⁴⁰ The Court found that the interests of retirees were fundamentally different from active workers: retiree “interests extend only to retirement benefits, to the exclusion of wage rates, hours, working conditions, and all other terms of active employment.”¹⁴¹ The Court worried that, in the words of one author, “a union would sell out its retirees to benefit its active workers.”¹⁴²

Setting aside that final point,¹⁴³ early-year graduate students have much more in common with active workers than retirees. Within one or two years, early-year students will be subject to the same exact “wage rates, hours, working conditions, and all other terms of active employment”¹⁴⁴ as their older peers. In fact, early-year graduate students may be working by the time a contract settling those matters is in place, giving them strong

138. *Columbia*, 364 N.L.R.B. at 1098-99 (“While Ph.D. assistants, as longer-term students, may be somewhat more concerned with certain types of remuneration, such as housing subsidies, their interests are certainly not at odds with those of the shorter-term employees. Indeed, the unit’s overarching interest in addressing issues pertaining to one’s simultaneous employment and enrollment as a student provides ample basis on which to pursue a common bargaining agenda. Therefore, applying traditional community of interest factors to these facts, we conclude that the petitioned-for unit is an appropriate unit.”); see also Crudele, *supra* note 70, at 772-73 (discussing this part of the *Columbia* decision).

139. *Columbia*, 364 N.L.R.B. at 1098.

140. *Allied Chem. & Alkali Workers, Loc. Union No. 1 v. Pittsburgh Plate Glass Co.*, 404 U.S. 157, 173 (1971).

141. *Id.*

142. Feldman, *supra* note 31, at 225.

143. As Feldman noted, the Court’s “fear” was “speculative” and “at odds with the whole rationale of the NLRA.” *Id.* at 225-26.

144. *Pittsburgh Plate Glass*, 404 U.S. at 173.

motivation to care about bargaining on those topics.¹⁴⁵ Early-year students have essentially the same concerns as their older peers despite the temporal distinction. Consequently, early-year students “share a community of interests broad enough to justify inclusion . . . in the bargaining unit”¹⁴⁶ and can share a bargaining unit with their upper-year peers.

C. Expectation of Future Employment

Second, Board and Circuit case law requires individuals who are employees but not currently working for an employer to “have a reasonable expectation of future employment.”¹⁴⁷ The NLRB and lower courts have developed frameworks for elections involving “seasonal” and “intermittent employees.”¹⁴⁸ “In deciding whether seasonal employees’ [sic] are eligible voters, the Board assesses their expectation of future employment.”¹⁴⁹ The Board performs this analysis using the *Maine Apple Growers* framework, including “the size of the area labor force, the stability of the Employer’s labor requirements and the extent to which it is dependent upon seasonal labor, the actual reemployment season-to-season of the worker complement, and the Employer’s recall or preference policy regarding seasonal employees.”¹⁵⁰ Circuit courts have consistently cited these factors when reviewing NLRB decisions.¹⁵¹

As discussed above, early-year graduate students with degree work requirements have a near-certain expectation of future employment. Universities hire graduate assistants from a limited pool of labor, i.e., graduate and professional students. Universities are highly dependent upon the labor of graduate assistants in the fall and spring semesters.¹⁵² Most

145. Hypothetically, active workers could choose to favor themselves by trading off immediate gains (e.g., contract bonuses) for future wages. This scenario is even more “speculative,” Feldman, *supra* note 31, at 225, than the retiree scenario and is not sufficient to nullify the community of interests.

146. *Pittsburgh Plate Glass*, 404 U.S. at 173.

147. *See supra* note 14 and accompanying text.

148. Trustees of Columbia Univ., 364 N.L.R.B. 1080, 1100 nn.133 & 136 (2016).

149. *Macy’s East*, 327 N.L.R.B. 73, 73 (1998).

150. *Me. Apple Growers*, 254 N.L.R.B. 501, 502 (1981) (footnotes omitted).

151. *See, e.g., Sitka Sound Seafoods, Inc. v. NLRB*, 206 F.3d 1175, 1179 (D.C. Cir. 2000); *Winkie Mfg. Co. v. NLRB*, 348 F.2d 254, 257-59 (7th Cir. 2003).

152. *See Kroeger et al., supra* note 44, at 3-5; Lafer, *supra* note 50, at 26-27.

importantly, many universities have a “requirement” policy, going beyond a “recall or preference policy,” which compels students to work in certain degree years. Early-year graduate students have much more than a reasonable expectation of future employment and pass the seasonal or intermittent worker test.

D. Employee Status

Third, the NLRA requires voters to be “employees.”¹⁵³ As noted above, courts and the NLRB have constructed an unordinary, “ordinary meaning” definition of employment that covers both current and expected employment relationships.¹⁵⁴ Distilling the case law from Part II, individuals can be employees if they have an “actual or anticipated” “economic relationship” with their employer.¹⁵⁵

Early-year students in degree programs with work requirements have an anticipated economic relationship with their universities. As the dissent in *Columbia* emphasized, graduate students attend universities to obtain degrees.¹⁵⁶ The students in question must work for their university in order to receive funding and/or graduate.¹⁵⁷ In *Columbia*, the NLRB held that the work those students will perform makes them “statutory employees.”¹⁵⁸ Early-year students therefore have a cognizable anticipated economic relationship that can make them statutory employees too, even before they begin working for the university.

Early-year graduate students are much more like the hiring-hall registrant employees in *IATSE* than the unpaid staff nonemployees in *WBAI Pacifica* and *Amnesty International*. The crux of the unpaid staff cases was the lack of any economic relationship whatsoever.¹⁵⁹ *WBAI Pacifica* and *Amnesty International* repeatedly emphasized that the staff at issue do not

153. See 29 U.S.C. § 159 (2018).

154. See *supra* Part II.

155. *WBAI Pacifica Found.*, 328 N.L.R.B. 1273, 1274 (1999).

156. See *Trustees of Columbia Univ.*, 364 N.L.R.B. 1080, 1104 (2016) (Miscimarra, Member, dissenting).

157. See *supra* Part I.

158. See *Columbia*, 364 N.L.R.B. at 1080.

159. See *WBAI Pacifica*, 328 N.L.R.B. at 1275; *Amnesty Int’l of the USA, Inc.*, 368 N.L.R.B. No. 112, at *2 (Nov. 12, 2019).

“receive compensation” either now *or in the future*.¹⁶⁰ Unlike those staff, early-year graduate students with work requirements anticipate an economic relationship in the relatively near future. Those students, with rare exceptions, must become statutory employees to graduate, which is the main reason they attend university.

Early-year graduate students are in some ways similar to the hiring hall registrants in *IATSE*. Like hiring-hall registrants, graduate workers form a pool of labor from which administrators can assign workers to open positions. The D.C. Circuit panel in *IATSE* held that actual connection with an employer was not necessary to support employee status;¹⁶¹ neither unattached registrants nor early-year students have such a connection. While early-year graduate students are not available to work on an ongoing basis like registrants, registrants can refuse a position more easily than graduate assistants can. The graduate employment relationship, moreover, is much more permanent than that for registrants, who may “often be referred to an [e]mployer for short periods of time, sometimes only a single day.”¹⁶² The registrants in *IATSE* had a relatively low probability of a relatively short-term economic relationship with the employers in question. Early-year graduate students with degree work mandates, in contrast, have an extremely high probability of a more durable economic relationship with their universities.

Overall, early-year graduate students with work requirements for degree completion can be employees under the NLRA. At first glance, this Note’s argument may seem to fly in the face of the Court’s “ordinary meaning” test for NLRA employment. It is true that early-year graduate students do not yet “work for another for hire”¹⁶³ (or at least do not work for their universities as graduate assistants). However, analysis of judicial and administrative precedent shows that the courts and the Board have constructed an “ordinary meaning” of NLRA employee status that encompasses both current and expected economic relationships. Certain early-year graduate students meet the second prong of this definition and can be statutory employees with the right to participate in union-representation decisions.

160. See *WBAI Pacifica*, 328 N.L.R.B. at 1275; *Amnesty Int’l*, 368 N.L.R.B. No. 112, at *2.

161. See *Int’l All. of Theatrical & Stage Emps. (IATSE) v. NLRB*, 334 F.3d 27, 29 (D.C. Cir. 2003).

162. *Id.*

163. *Allied Chem. & Alkali Workers, Loc. Union No. 1 v. Pittsburgh Plate Glass Co.*, 404 U.S. 157, 168 (1971).

E. The Pework Rule and Eligibility Formula

As the above sections show, early-year graduate students with degree work requirements are statutory employees who share a community of interests with their peers. This fact is all that the text of the NLRA requires to vote in a representation election. As quoted above, Section 9(a) of the Act grants recognition to “[r]epresentatives designated or selected for the purposes of collective bargaining by the majority of the *employees in a unit appropriate for such purposes*.”¹⁶⁴ In other words, the Act explicitly lists two criteria for voter eligibility: employee status and membership in an appropriate unit. Early-year graduate students with degree work requirements meet both criteria.

However, as a policy matter, the Board has adopted the so-called “prework rule” for voter eligibility.¹⁶⁵ According to this “well settled” doctrine, “in order to be eligible to vote, an individual must be employed *and working* on the established eligibility date, unless absent for one of the reasons set out in the Direction of Election.”¹⁶⁶ The Board “defines ‘working’ as the actual performance of bargaining unit work.”¹⁶⁷ Early-year graduate students with future work requirements would appear to fail this criterion, as they are admittedly not yet performing teaching or research work before the election.

Nonetheless, the Board has recognized that the prework rule is not appropriate in certain “industries [that] do not have the kind of steady employment that is characteristic of the mainstream of industrial enterprise.”¹⁶⁸ In these cases, the Board has found it “necessary to devise an eligibility formula in those industries which will best be tailored to their special needs.”¹⁶⁹ The eligibility formula acts as a substitute for the prework

164. 29 U.S.C. § 159(a) (2018) (emphasis added).

165. *Dyncorp/Dynair Servs., Inc.*, 320 N.L.R.B. 120, 120 (1995) (quoting *CWM, Inc.*, 306 N.L.R.B. 495, 495 (1992)).

166. *Roy Lotspeich Pub. Co.*, 204 N.L.R.B. 517, 517 (1973) (quoting *Ra-Rich Mfg Corp.*, 120 N.L.R.B. 1444, 1447 (1958)); *see also Dyncorp*, 320 N.L.R.B. at 120; *Speedway Petrol.*, 269 N.L.R.B. 926, 926 n.1 (1984); *PEP Boys*, 339 N.L.R.B. 421, 421 (2003).

167. *PEP Boys*, 339 N.L.R.B. at 421 (quoting *Dyncorp*, 320 N.L.R.B. at 120).

168. *OUTLINE OF LAW*, *supra* note 22, at § 23-400.

169. *Id.*

rule and allows workers who are linked to an employer but not currently working to participate in representation elections.¹⁷⁰

The Board has used these formulas in numerous graduate-worker elections already. In these elections, NLRB regional directors have approved eligibility formulas with “a lookback period” to capture “students [who] do not necessarily work in consecutive semesters but retain a continuing interest in the terms and conditions of employment of the unit.”¹⁷¹ As discussed by an NLRB regional director in a decision involving Northeastern University graduate workers, Board “regional directors found lookback formulas appropriate in” graduate worker elections at Columbia University, Duke University, University of Chicago, University of Pennsylvania, Vanderbilt University, and Yale University.¹⁷² Public records show several other examples of graduate-worker elections conducted with lookback formulas, including elections at Harvard University and Johns Hopkins University.¹⁷³ NLRB officials have already determined that the prework rule can be inappropriate for graduate-union elections and instated “lookback” periods to ensure all interested employees have a voice in the choice of their bargaining representative.

Regional directors should recognize a “look-forward” period in graduate-worker eligibility formulas as well. Current lookback formulas discriminate between similarly situated workers based on something like historical accident. Jane Doe, who worked last year, is not working this year, and will work again next year, can vote. John Smith, who has a degree work requirement for next year but started his degree program a year later, cannot. Both Jane and John may know with certainty that they will perform bargaining-unit work in the near future. Their voter eligibility, however, is different based on whether they happen to have worked in the past. The situation may actually be worse in some cases. Imagine Jane was a sixth-year doctoral student graduating in the spring. In that case, the lookback formula would allow someone who will not work in the future to vote while excluding someone who almost certainly will.

170. *See id.* at § 23-400-470 (describing eligibility formulas, for, *inter alia*, the longshore, construction, oil drilling, taxicabs, and entertainment industries).

171. Northeastern DDE, *supra* note 22, at 14.

172. *Id.*

173. Notice of Second Election at 2, President & Fellows of Harv. Coll., Case 01-RC-186442 (NLRB Mar. 29, 2018); Notice of Election at 2, Johns Hopkins Univ., Case 05-RC-309139 (NLRB Jan. 20, 2023).

Eligibility formulas are meant to avoid arbitrary outcomes in industries with variable employment.¹⁷⁴ Unfortunately, the Board's current "lookback" formula for graduate-worker elections does just this: it discriminates between workers with identical future-employment expectations based on whether they performed work in the past. NLRB regional directors supervising graduate union elections should instate a "look-forward" period to capture early-year graduate students with degree work requirements and allow these workers to vote with their peers.¹⁷⁵

IV. THE "HORIZONTAL DIMENSION" OF GRADUATE-WORKER ORGANIZING

Recognizing the intertemporal collective-action problem in graduate organizing highlights how graduate workers offer something larger for the modern labor movement. This Note briefly concludes by considering how graduate unions provide an example of individuals valuing unions for, in the words of Diana Reddy, "doing *exactly what they are statutorily designed to do*."¹⁷⁶

Thirty years ago, George Feldman identified the "horizontal dimension" of union representation, that is, "the legitimate scope of the union's activities, and the closely related issue of for whom it is legally proper for the union to speak."¹⁷⁷ Feldman found that the judiciary has taken a narrow view of this horizontal dimension, confining collective bargaining to the

174. See OUTLINE OF LAW, *supra* note 22, at § 23-400-470; see also Trustees of Columbia Univ., 364 N.L.R.B. 1080, 1101 (2016) (describing how the NLRB has "noted the importance of 'preventing an arbitrary distinction' which disenfranchises employees with a continuing interest in their employment within the unit but who happen not to be working at the time of the election" (quoting C.W. Post Ctr., 198 N.L.R.B. 453, 454 (1972))).

175. One analogy for the look-forward period I suggest is the Board's rule permitting job applicants with Section 8(a)(3) refusal-to-hire charges to vote in representation elections. See *Monfort of Colo.*, 298 N.L.R.B. 73, 86 (1990) (overturning an election because of, among other things, the employer's omission of applicants who faced anti-union discrimination from a voter list). Applicant-discriminatees, like early-year graduate students with degree-work requirements, have not begun working for the employer in question. The Board lets them vote to further statutory policy, which in the refusal-to-hire context is the Act's prohibition of anti-union discrimination. The analogy is not perfect, but the principle is similar.

176. Reddy, *supra* note 32, at 1451.

177. Feldman, *supra* note 31, at 200.

interests of unit members as workers.¹⁷⁸ Last year, Reddy resurfaced the connection between union collective bargaining and public interests in a piece contrasting glowing public support for unions as agents of “economic populism,” “antidiscrimination,” and “the common good,”¹⁷⁹ with “the fact that framing unions as helping workers improve their jobs decreases support for them.”¹⁸⁰ Reddy suggested that “labor unions should and must establish . . . that *what unions do to improve the working lives of their own members is itself a common good.*”¹⁸¹

An intertemporal collective-action problem exists in graduate organizing because the pool of beneficiaries is broader and different than the pool of voters. In other words, graduate unions stretch Feldman’s “horizontal dimension.”¹⁸² All unions, in some sense, benefit future workers along a third, temporal dimension. The future workers that graduate unions benefit, however, may be identifiable *ex ante*: some active workers can be near-certain that their negotiations will benefit many of their friends and peers. At the same time, a sizeable number of active workers can also be near-certain that they will never benefit from the contract they negotiate. Placing these facts side-by-side, upper-year students are both embedded worker-voters and quasi-members of the general public, and their votes can represent a view on the merits of unionization at least partially detached from their personal interests.

Consider the viewpoint of a sixth-year graduate student voting in a unionization election. This voter has had ample experience with the university workplace. They may have significant things that they would want to change about their job or thoughts on what their priorities would be in bargaining. At the same time, they know without a doubt that they will move on before the union negotiates a first contract or establishes a grievance procedure. When this worker considers their experience on the job and chooses to unionize, they are, in a real sense, endorsing the project of unions as agents of workplace change for their peers who will work in the future. Their vote says, “I want a union so that my workplace will be better for those who follow me.”

Graduate unions provide an example for labor leaders considering the challenge Reddy raised. Other unions are responding to the challenge too,

178. *Id.* at 200-01.

179. Reddy, *supra* note 32, at 1436-46.

180. *Id.* at 1452.

181. *Id.* at 1449.

182. Feldman, *supra* note 31, at 200.

as exemplified by the fight against “two-tier contracts” in recent bargaining at employers like Kellogg, Nabisco, Kaiser Permanente, and John Deere.¹⁸³ In the 1980s and 1990s, many unions agreed to tiered contracts that sorted workers into different wage and benefit trajectories depending on when they entered the workforce.¹⁸⁴ Older workers remained at higher tiers with legacy wages and benefits, while new entrants started at lower tiers with reduced wages and benefits.¹⁸⁵ Multi-tier contracting takes advantage of an intertemporal collective-action problem: current workers trade better terms for themselves for worse terms that bind future bargaining-unit members.¹⁸⁶

Over the last few years, workers have demonstrated increased labor militancy through phenomena like “Striketober,”¹⁸⁷ and the election of reform candidates to lead the United Autoworkers (UAW) and the International Brotherhood of Teamsters (Teamsters).¹⁸⁸ Several unions have challenged tiered contracts at the bargaining table and on the picket line,¹⁸⁹ emphasizing how two-tiered agreements violate an intertemporal

183. Ahiza Garcia-Hodges, *What Is the “Two-Tiered Wage System” Fueling Worker Strikes?*, NBC NEWS (Oct. 20, 2021), <https://www.nbcnews.com/business/business-news/what-two-tiered-wage-system-fueling-worker-strikes-n1281938> [<https://perma.cc/57Q6-6BE9>].

184. *Id.* (quoting Kate Bronfenbrenner); Alex Press, *How Two-Tier Unions Turn Workers Against One Another*, WASH. POST (Aug. 29, 2018), <https://www.washingtonpost.com/outlook/2018/08/30/how-two-tier-unions-turn-workers-against-each-other> [<https://perma.cc/GUW3-6JXG>].

185. Garcia-Hodges, *supra* note 183; Press, *supra* note 184.

186. Garcia-Hodges, *supra* note 183; Press, *supra* note 184 (describing lower tiers as “an underclass, one that would not have means to be represented at the current bargaining table because it hasn’t been created yet”).

187. E.g., Daniel Thomas, *100,000 Workers Take Action as ‘Striketober’ Hits the US*, BBC (Oct. 14, 2021), <https://www.bbc.com/news/business-58916266> [<https://perma.cc/8822-WDXU>].

188. E.g., Barry Eidlin, *In the Teamsters and UAW, Historic Victories Were Due to Decades of Union Reform Efforts*, JACOBIN (Jan. 10, 2024), <https://jacobin.com/2024/01/teamsters-for-a-democratic-union-unite-all-workers-for-democracy-uaw-shawn-fain-sean-obrien-reform-democracy-history> [<https://perma.cc/45GA-TYBK>].

189. Garcia-Hodges, *supra* note 183; Abha Bhattarai, *‘A Tipping Point’ in Equal Pay: Automakers Are Scrapping Tiered Wages*, WASH. POST. (Oct. 31, 2023), <https://www.washingtonpost.com/business/2023/10/31/tier-pay-ford-gm-stellantis-ups-unions> [<https://perma.cc/E4G7-88HD>].

responsibility owed from one generation of union workers to the next. In 2021, Bakery, Confectionary, Tobacco Workers and Grain Millers (BCTGM) union members struck Kellogg and Nabisco over, among other issues, tiered contracts.¹⁹⁰ Union leadership explained how members owe an intertemporal duty of solidarity to future employees; in the words of BCTGM secretary-treasurer David Woods, “These future workers are like unborn babies . . . They don’t have a face or a name yet, but we know they’re coming behind us.”¹⁹¹ UAW members similarly made two-tier contracts a flash point in their 2023 “Stand Up Strike”¹⁹² campaign against General Motors, Ford, and Stellantis.¹⁹³ And while UAW President Shawn Fain focused on how tiered contracts violate an innate sense of fairness,¹⁹⁴ other members, like Ford worker Steven Summers, highlighted the intertemporal dimension of tiered bargaining.¹⁹⁵ The BCTGM and UAW have been at the forefront of a movement that has rolled back tiered contracting in a series of successful negotiations and labor actions.¹⁹⁶

Both unions organizing graduate workers and unions challenging tiered contracts have achieved significant gains despite serious intertemporal

190. Garcia-Hodges, *supra* note 183.

191. *Id.*

192. *Stand Up Strike Frequently Asked Questions*, UAW, <https://uaw.org/standup> [<https://perma.cc/L7CC-RWWS>].

193. The UAW listed “eliminate tiers” first on its “Members’ Demands” list for Big Three bargaining, referring to Ford, General Motors, and Stellantis. *President Fain on Facebook Live: Big Three’s Record Profits Mean Record Contracts*, UAW (Aug. 2, 2023), <https://uaw.org/president-fain-facebook-live-big-threes-record-profits-mean-record-contracts> [<https://perma.cc/UX8B-84EG>]; see also Lauren Kaori Gurley, *Two Striking UAW Workers at the Same Ford Plant, Very Different Lives*, WASH. POST (Sept. 20, 2023), <https://www.washingtonpost.com/business/2023/09/20/uaw-strike-ford-autoworkers-wages> [<https://perma.cc/AWY8-W37Y>] (“Abolishing the tiered wage system is one of the union’s top demands.”).

194. UAW, *UAW President Shawn Fain Live Stream Update 8/1/23 from Detroit, MI*, YOUTUBE, at 21:14 (Aug. 1, 2023), https://www.youtube.com/watch?v=z3jazbdbp_Y [<https://perma.cc/2PL8-S9J2>] (“It’s wrong to make any worker a second-class worker.”)

195. Gurley, *supra* note 193 (“The older generation is doing all right, but the kids are struggling . . . It’s wrong that [legacy employees] make as much as we make, not great, but we make a living, and they’re not making a living.” (quoting Steven Summers, Ford worker).

196. Bhattarai, *supra* note 189.

collective-action problems. In doing so, they have broadened Reddy's thesis in an important way. Graduate unions are convincing upper-year students to vote for unions as agents of workplace change for their peers. Workers challenging tiering have foregone gains for themselves to fight for future generations of union siblings. In both cases, unions have stretched the bounds of labor action along the temporal dimension and affirmed that union activity matters both for current employees and for their successors. These unions therefore point toward an intertemporal extension of Reddy's conclusion, emphasizing "that *what unions do to improve the working lives of their own members,*" and of their future members, "*is itself a common good.*"¹⁹⁷

This Note's legal proposal raises a way to better align the interests of graduate union voters and beneficiaries. Juxtaposing the recent successes in graduate organizing with the intertemporal collective-action problem underneath this proposal, however, suggests that graduate workers are already convincing their peers that unions have a role to play in American life for what they do on the job.¹⁹⁸ Upper-year graduate students voting to unionize are a model of the individuals that Reddy suggested the labor movement needs to support a resurgence in union membership.¹⁹⁹

As Rogers noted, intertemporal collective-action problems are present in any union-organizing campaign.²⁰⁰ Graduate unions, facing a particularly extreme case of intertemporal dissonance, have managed to win election after election. This outcome suggests that organizers in other industries may be able to take lessons in addressing these challenges from the success of graduate organizers. Whether we call it altruism or reflection on lived experience, conversations about the role that unions have for both current and future workers have been persuasive in organizing higher education and challenging tiered contracting.²⁰¹ Although a full analysis of the

197. Reddy, *supra* note 32, at 1449.

198. *Cf.* Rogers, *supra* note 8, at 348 (discussing how "[o]rganizers and worker leaders . . . convince workers that unionization is possible and desirable").

199. *See* Reddy, *supra* note 32, at 1449.

200. Rogers, *supra* note 8, at 343.

201. Empirical research suggests that "appeals to altruism or to a higher purpose . . . cannot hurt, and potentially can help, unions in persuading workers to vote yes in organizing elections." PAUL F. CLARK, BUILDING MORE EFFECTIVE UNIONS 35-36 (2d ed. 2009). I do not know if it is quite right to call the sort of behavior at issue here purely altruistic. I see it as a reflection of personal experience or an expression of internal values around solidarity.

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strategies involved in these campaigns is beyond the scope of this Note, these cases are worth further study for labor strategists in other contexts.²⁰²

Graduate unions are in some ways unique institutions in American labor relations due to the structure of their workforce. Few, if any, sets of workers face such an extreme intertemporal collective-action problem in organizing. And yet, over the past couple of years (and the past decades at public universities), graduate workers have shown resounding support for unionization.²⁰³ Graduate organizers, alongside other members of the labor movement, are already solving intertemporal collective-action problems, in part by convincing upper-year peers “that *what unions do to improve the working lives of their own members is itself a common good.*”²⁰⁴ The labor movement should take note of these victories and their voters as it seeks to turn enhanced public support for unions into increased union density.

* * * * *

202. One semi-analogous context may be service and logistics industries, which feature very rapid employee turnover (although I recognize that organizers face different challenges in different industries). *See, e.g.,* Michael Sainato, *Amazon Could Run Out of Workers in US in Two Years, Internal Memo Suggests*, GUARDIAN (June 22, 2022), <https://www.theguardian.com/technology/2022/jun/22/amazon-workers-shortage-leaked-memo-warehouse> [https://perma.cc/7QDX-RNMU]; Jenny Brown, *Unions Can Organize High-Turnover Workplaces*, JACOBIN (May 24, 2023), <https://jacobin.com/2023/05/labor-unions-high-turnover-organizing-amazon-student-workers> [https://perma.cc/32YY-FG9G]; Olivia Olander, *Unions Seek Gains in Hostile Territory: ‘If You Change the South, You Change America’*, POLITICO (Sept. 6, 2023), <https://www.politico.com/news/2023/09/05/unions-south-labor-organizing-ussw-seiu-00114085> [https://perma.cc/X5RP-AXZR]. Consistent and expected short job tenure worsens the intertemporal collective-action problem that organizers face.

203. *See supra* notes 1-5 and accompanying text.

204. Reddy, *supra* note 32, at 1449.